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Atty. Doct. No. 04860.P2657

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jerome R. Bellegarda, et al.

Application No. 09/881,986

Filed: June 14, 2001

For: METHOD AND APPARATUS FOR
FILTERING EMAIL

Examiner: Not yet assigned

Art Unit: 2681

Asst. Commissioner for Patents
Washington, D.C. 20231

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

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- X A statement pursuant to 37 C.F.R. §1.97(e) or
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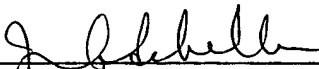
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/16, 2003


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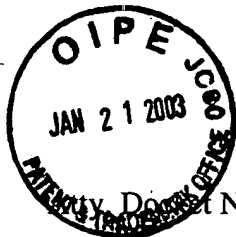
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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/16, 2003

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| Page <u>1</u> of <u>2</u> | First Named Inventor: Jerome R. Bellegarda, et al. | |
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U.S. PATENT DOCUMENTS

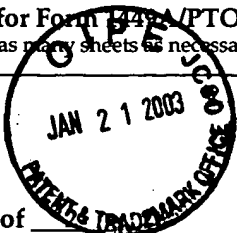
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| | | LI, Y.H. and JAIN, A.K. "Classification of Text Documents." <u>The Computer Journal</u> , Vol. 41, No. 8 (1998), pages 537-546. | |
| | | FOLTZ, PETER W. "Using Latent Semantic Indexing for Information Filtering." <u>Proceedings of the Conference on Office Information Systems</u> , (1990), pages 40-47. | |
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| | | BELLEGRADA, JEROME R.; BUTZBERGER, JOHN W.; CHOW, YEN-LU; COCCARO, NOAH B.; AND NAIK, DEVANG. "A Novel Word Clustering Algorithm Based on Latent Semantic Analysis." <u>International Conference on Acoustics, Speech, and Signal Processing, Conference Proceedings</u> , Vol. 1 (May 7-10, 1996), pages 172-175. | |
| | | PCT International Search Report for PCT Int'l Appln. No. PCT/US02/19015, mailed 26 November 2002 (7 pages). | |
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